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Attorney Docket # 5367-249PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Simon BLÜMEL

Int'l PCT Serial No.: PCT/DE2004/002385

Int'l Filing Date::

October 26, 2004

For:

Optoelectronic Module, and Method for the

Production Thereof

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE LLP

Bv

Thomas Langer

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Dated: June 29, 2006

1AP20 RECUI WITTO 29 JUN 2006 (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for I	Form 1449/PT(0		Complete if Known		
				Application Number	10/5651/5	
INFO	DMAT	ΙΛΝΓ	ISCLOSURE	Filing Date		
			APPLICANT	First Named Inventor	Simon BLÜMEL	
SIA				Art Unit		
(Use as many sheets as necessary)				Examiner Name		
Sheet	1	of	1	Attorney Docket	5367-249PUS	
				Number		

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number-Kind-Code ^{2 (if}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	_	known)			Figures Appear
		US-5,153,734 A	10/06/1992	Kanamori et al.	
		US-6,627,872 B1	09/30/2003	Fukamura et al.	
		US-4,168,102 A	09/18/1979	Chida et al.	
		US-5,920,120 A	07/06/1999	Webb et al.	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³⁻ Number ⁴⁻ Kind-Code ^{5 (if known}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
		JP 61 214566 A	09/24/1986	Toshiba Corp.					
		JP 10 222080 A	11/30/1998	Nippon Columbia Co. Ltd.					
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Examiner Signature		Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed non-U.S. documents are enclosed.

USP 5,040,868 corresponds to EP 1 022 787 A1.

USP 4,695,871 corresponds to DE 33 31 451 C2.

USP 2003/0185526 A1 corresponds to DE 100 23 353 A1.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

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14P2012331317029 JUN 2006

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE LLP

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INFO	DMATIC	NT	isci osi	DF	Filing Date	10/585175	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	Simon BLÜMEL	
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	(Obe as many	3110013 1	is necessary)		Examiner Name		
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Document Number Number-Kind-Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,040,868	08/20/1991	Waitl et al.			
		US-4,695,871	09/22/1987	Tsunoda et al.			
		US-2003/0185526 A1	10/02/2003	Höhn et al.			
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FOREIGN PATENT DOCUMENTS									
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muais	No. 1	Country Code ³ Number ⁴ Kind-Code 5 (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear				
		EP 1 022 787 A1	05/31/1989	Waitl et al.		-			
		DE 33 31 451 C2	01/24/1991	Tsunoda et al.					
		DE 100 23 353 A1	11/29/2001	Sorg et al.					
						-			
<u></u>									

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